

HTS User's Manual

English Version



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Itemized Description

- Area [A] Sell Tab: The area where you can enter issue to sell, quantity and price of the issue, and etc.

The screenshot shows a 'Sell' tab interface. At the top, there are three buttons: 'Sell', 'Buy', and 'Cancel'. Below them is a blue header bar with the word 'Sell' in white. The main area contains several input fields: 'Issue Code' with a search icon, 'Quantity' with a value of 0, 'Price' with a value of 0, 'Type' set to 'Limit Order', 'Condition' set to 'FAS', and 'Order No' with a value of 0. There is also a checkbox labeled 'Sell Borrowed Stock'.

- **Issue Code:** Enter issue code of the stock you wish to sell.

You can type in issue code in the “Issue Code” data field or select issue in the “Issue Search” Pop up window by clicking the icon next to data field.

If you double-click the issue shown in the Area [B] Balance Tab, information of the selected issue fills the data fields in Area [A].

- When you click the icon next to “Issue Code” data field, following window pops up.

The screenshot shows the 'Issue Search' window. It has a title bar with a close button. On the left is a tree view with 'Stock Issue' expanded, showing 'All', 'Listing', and 'Prelisting'. In the center is a table with two columns: 'Name' and 'Code'. The table lists several stock issues, with 'Daeryuk' (code 004780) highlighted. To the right of the table is a keyboard layout with letters A through Z. At the bottom, there is a checkbox for 'Always Open' and a 'Close' button.

- **Type:** a type of order (Limit Order: an order placed at a specified price or better)
- **Quantity:** the quantity to sell (input within the quantity available to place an order).
- **Price:** the price at which a selling order is placed (input within upper/lower limit).
- **Condition:** time in force (FAS; Fill And Store, also known as “Day Order,” is a market or limit order that is in force from the time the order is submitted to the

end of the day's trading session).

- **Sell Borrowed Stock:** select check box if you are selling borrowed stock.
 - **Order No.:** Order numbers are automatically created if orders are normally processed.
- **Area [A]Buy Tab:** The area into which you can enter the issue to buy, quantity and price of the issue, and etc.

- **Issue Code:** select issue code of the stock you wish to buy or click icon and select in the popup window (or type in).
- **Type:** a type of order (Limit Order: place an order at a specified price or better)
- **Quantity:** The quantity to buy
- **Price:** the price at which a buying order is placed (input within upper/lower limit).
- **Condition:** time in force –FAS(Fill And Store).
- **Order No.:** Order numbers are automatically created if orders are normally processed.

☞ **Area[A] Cancel Tab:** to cancel unexecuted orders from pending orders during trading hours.

- **Original Order No.:** Type order number of the trade you wish to cancel into the “Original Order No.” data field. Or you may select the order number by double-clicking the row containing the order you wish to cancel in Area [D]. Area [D] displays unexecuted and pending orders on the table. (Only unexecuted orders during trading hours can be cancelled).
- **Issue Code:** Search information of the stock issue to be cancelled.
- **Order No.:** Order numbers are automatically created if orders are normally processed.

☞ **Area [B] Balance Tab:** displays the issues held in the account of which number and password are entered in the table.

Balance		Orderable Amount
Issue Code	Issue Name	Orderable Quantity
A000145	HITEHOLDINGS(1P)	220
A000150	DOOSAN	30,279
A000155	DOOSAN(1P)	98,324
A000157	DOOSAN(2PB)	92,231
A000180	SCEHoldings	6,043
A000210	DaelimInd Changed	11,123
A000215	DaelimInd(1P) Changed	44,237

- **Issue Code:** issue code of the stocks held in the account.
- **Issue Name:** issue name of the stocks held in the account.
- **Orderable Quantity:** quantity available to place an order.

☞ **Area [B] Orderable Amount Tab:** displays amount available to place an order in a given account.

Balance		Orderable Amount		
		T+0	T+1(Estimated)	T+2(Estimated)
Cash Balance		2,936,209,137	2,936,209,137	2,936,209,137
Orderable Amount		2,936,209,137		

- **Cash Balance:** shows cash balance as of trade date(T+0), T+1(estimate), and T+2(estimate) of a given account.
- **Orderable Amount:** amount available to place orders in a given account.

☞ **Area[C] Quotes Information:** inquire information on quotes of chosen issues.

Current Price			4,650
Trading Quantity	0	Base Price	4,650
Upper Limit	4,850	Lower Limit	4,450
Remain	10:07:36	Remain	
900	13,600		
700	13,000		
600	12,400		
2,200	Sum		

- **Current Price:** current price of the stock issue.
- **Trading Quantity:** the quantity of issues that are traded.
- **Base Price:** refers to the referenced price when trading stocks, usually closing

- price of previous trading day.
- **Upper Limit:** Upper limit price of the issue.
- **Lower Limit:** Lower limit price of the issue.
- **Remain(left):** remaining selling quantity.
- **Center panel:** shows selling prices.
- **Remain(right):** remaining buying quantity.
- **Sum:** remaining total quantity.

☞ **Area [D] Details of placed orders:** displays details of orders for a given account on a real time basis.

☐ Unexecuted Inquiry

Order No.	Market	Issue Code	Sell/Buy	Order Type	Order Qty	Order Price	Executed Qty.	Executed Price	Unexecuted Qty.	Order Time	Media
1	LST	000157	Sell	Limit Order	100	35500	0	0	100	1837	Tel
2	PST	001810	Sell	Limit Order	100	2100	0	0	100	1839	Tel
3	PST	004790	Sell	Limit Order	100	650	0	0	100	1839	Tel
4	PST	005160	Sell	Limit Order	130	4200	0	0	130	1839	Tel

- **Unexecuted:** When the box beside “Unexecuted” is checked, unexecuted orders are inquired. If unchecked, all orders are displayed by default.
- **Order No.:** automatically created number which is assigned to the normally processed order.
- **Market:** Market type LST (Listed Stock Market) / PST (Pre-listing Stock Market).
- **Issue Code:** issue code of the stock to place an order.
- **Sell/Buy:** Sell or Buy.
- **Order Type:** a type of order. “Limit Order” is set as default.
- **Order Quantity:** The quantity in which order has been placed.
- **Order Price:** The price at which order has been placed.
- **Executed Qty:** the quantity in which an order has been executed.
- **Executed Price:** the price at which an order has been executed.
- **Unexecuted Qty:** the quantity of orders that have not been executed.
- **Order Time:** The time when an order has placed.
- **Media:** a type of order media (Teller / HTS).

• Function

▪ Job-based Description

• To place selling order for stock

- (1) Enter account number and password.
- (2) Select “Sell” tab in **Area[A]**.
- (3) You can type issue code into the “Issue Code” data field, or double-click the issue held by the account, shown in the “Balance” Tab table in [B] Area. Alternatively, select in the “Stock Search” window popped up by clicking the icon next to “Issue Code” data field.

(however, the issues must be held by the account in question)

- (4) Select a Sell Type, “Limit Order” is set by default.
- (5) Enter quantity to sell. Double click the issue shown in the “Balance” Tab table in **Area[B]**, and it will be filled in the data fields of the table in **Area [A]**, where you can specify quantity to sell (unable to sell more than holding quantity)
- (6) Enter selling price within upper or lower limit price, based on the quotes shown in **Area[C]**.
- (7) Condition: only FAS (Fill and Store) exist and are set by default currently.
- (8) When you are selling borrowed stocks, select the checkbox labeled “Sell Borrowed Stock” under “Price” data field.
- (9) Fill in all data fields under “Sell” Tab in **Area [A]**, and press “Send Order” button to place an order.
- (10) If orders are normally processed, the order numbers are automatically created and shown in the “Order No” field. And they are displayed on the table in **Area [D]** on a real time basis.

- **To place buying order for stock**

- (1) Enter account number and password.
- (2) Select “Buy” tab in **Area[A]**.
- (3) You can type issue code into the “Issue Code” data field, or select in the “Stock Search” window popped up by clicking the icon next to “Issue Code” data field.
- (4) Select a type, “Limit Order” is set by default.
- (5) Enter quantity to buy.
- (6) Enter buying price. Enter buying price within upper or lower limit price, based on the quotes information shown in **Area [B]**.
- (7) Condition: currently only FAS (Fill and Store) exist, and are set as default.
- (8) Fill in all data fields under “Buy” Tab in **Area [A]**, and press “Send Order” button to place an order.
- (9) If orders are normally processed, the order numbers are automatically created and shown in the “Order No” field. Also they are displayed on the table in **Area [D]** on a real time basis.

- **To cancel order for stock**

- (1) Enter account number and password.
- (2) Select “Cancel” tab in **Area[A]**
- (3) Type order number to cancel into the “Original Order No” data field or double-click the order to cancel, from unexecuted and pending orders displayed in **Area [D]**.
- (4) Fill in all data fields under “Cancel” Tab in **Area [A]**, and press “Send Order” button to place an order.

II. Account

2.1 Change Password [7210]

- Screen Overview

The screenshot shows a 'Change Password' window. It features a title bar with the text 'Change Password' and standard window controls. The main content area is divided into two sections. The top section, with a yellow background, contains three fields: 'Password Type' (a dropdown menu currently showing 'HTS Password'), 'Account No' (a dropdown menu), and 'HTS ID' (a text input field). The bottom section, with a light gray background, contains a large button labeled 'Change HTS Password'. Below this button are three text input fields labeled 'Old Password', 'New Password', and 'Confirm Password'. At the bottom right of the window is a 'Save' button.

- ☞ **Purpose**

The screen used to change passwords; Account Password and HTS Login Password.

- ☞ **Request Table Description**

- Password Type
 1. HTS Login Password
 - Change by customer's request.
 - HTS login has been tried more than 5 times with invalid password.
- Account No.: 6-digit serial number given at time of account opening.
 - Account Number is NOT allowed to type in manually.
- HTS ID: Once an account number is entered, HTS ID is automatically retrieved.

- **Functions**

- ☞ **Items Description**

- Old Password
 - In case of password change, if entered password does not match with existing one, you are unable to change it.
- New Password, Confirm Password
 - In order to prevent typo or to confirm entered password, you are required to enter the same password twice, in the "New Password," and "Confirm Password" data fields respectively.

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[illegible]

The screen to inquire all activities made in the account.

☞ Request Panel Description

- Type:
 - All: inquire all transactions.
 - 1. Cash Deposit/Withdrawal: inquire cash deposits and withdrawals only.
 - 2. Securities Deposit/Withdrawal: inquires deposits and withdrawals of securities only.
 - 3. Trading: inquire trading details of securities.
 - 9. Others: inquires other miscellaneous transactions.

• Functions

☞ Items Description.

☞ Settlement Amount.

- Trading amount less expenses.
- Deposit Transaction: Trading amount – Fee – Total Tax.
- Withdrawal Transaction: Trading amount + Fee + Total Tax.

☞ Functions Description

If you double-click the row containing the transactions you wish to view on the lower table, where data matching inquiry conditions is retrieved. Then ‘Details of Transaction’ window pops up.

2.3 Balance Inquiry [7261]

• Screen Overview

The screenshot shows the [7261] Balance Inquiry window. The top header contains the account number 04009, the name KANG BEUM GOO, and a password field. Below this, the window is divided into two main panels. The left panel, titled 'Deposit Details', contains several sub-sections: 'Cash' and 'Margin(Cash)' each with LAK and USD input fields; 'Uncollected Cash Details' with 'Uncollected Amount' and 'Other Loan' also having LAK and USD input fields; and 'Available Amount' with 'Withdrawable Amount' and 'Orderable Amount' having LAK and USD input fields. The right panel, titled 'Securities Balance', is a table with four columns: 'Issue Code', 'Issue Name', 'Holding Quantity', and 'Disposal Restriction'. The table has multiple rows for data entry. At the bottom right of the window, there are 'Inquiry' and 'Next [F7]' buttons.

☞ Purpose

The screen to view customer's deposit and balances. Customer may change Seal or Signature at any time if necessary.

- Account No: 6-digit serial number given to the account when a customer opens an account.
- Password: 4-digit number verifying ownership of the account.

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- Holding Quantity: holding quantity on a settlement basis.
- Disposal Restriction: The quantity for which disposal is restricted, since pledge, seizure, and safekeeping has been set against the securities.

II

3.3

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[illegible]

List of Order/Execution – by account

Purpose

This screen enables you to inquire details of the orders by account, after trading stocks and bonds. You can view such details as totals of sell quantity, buy quantity, sell amount, and buy amount, sorted by currency (both in LAK and in USD).

Request Table Description

- Button
 - Inquiry / Next
 - Password
 - Securities Type: All / Stock / Bond
 - Media Type: All (Teller + HTS) / Teller / HTS
 - Sell/Buy: All(Sell + Buy) / Sell / Buy
 - Execution Type: All / Executed / Unexecuted
-
- **Function**

Itemized Description

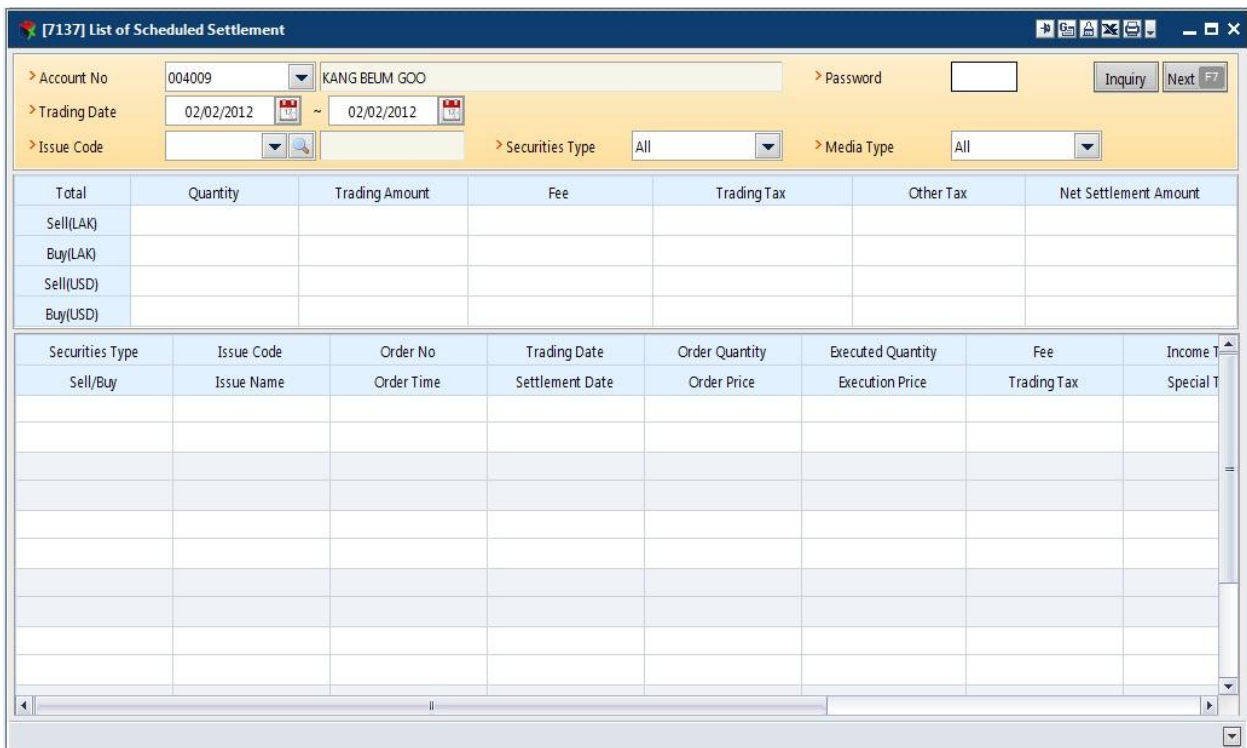
- Sell Quantity: Total quantity of which sell order was executed.
- Sell Amount: Total amount in which sell order was executed (Sell executed sell quantity x Executed price).
- Buy Quantity: Total quantity of which buying order was executed.
- Buy Amount: Total amount in which buying order was executed (Executed buying quantity x Executed price).
- Type: New (New order) / Cancel (Cancel Order).
- Original Order No: displays original order number of the orders to correct or cancel.
- Securities Type: Stock / Bond.
- Currency: currency in which orders are placed.
- Order Quantity: the quantity by which order was placed.
- Order Price: the price at which order was placed.
- Execution Quantity: executed Quantity.
- Execution Price: executed price.
- Unexecuted Quantity: ordered quantity minus executed quantity.
- Order Media: Teller/ HTS.
- Trader ID: ID of user who has placed orders.

Functions Description

- To inquire
 - (1) Enter account number, password, Issue Code(by default, all issues), and select **Securities Type**, **Execution Type**, **Order Date**, **Sell/Buy**, **Media Type** in the respective drop-down lists or calendar pop-up windows on the upper table.
 - (2) Click “Inquiry” button to search.
 - (3) If there is more data to view, click “Next” button to view the rest.

3.2 List of Scheduled Settlement [7137]

- Screen Overview



List of Scheduled Settlement – by Account

Purpose

The screen to enables you to inquire scheduled settlement in detail, by account, after trading stock or bond. It also facilitates you to view such information as totals of fee, trading tax, and other taxes in associated with stock or bond trading, before the trades are settled.

☞ Request Table Description

- Buttons
 - Inquiry / Next
- Password
- Securities Type : All / Stock / Bond
- Media Type : All (Teller + HTS) / Teller / HTS

• Function

☞ Itemized Description

- Sell(LAK):Totals of Selling Trade (in LAK) (Quantity,Trading Amount,Fee,Trading Tax,Other Taxes, Net Settlement Amount)
- Other Taxes = income tax + special tax + surtax
- Buy(LAK): Totals of buying trade(in LAK) (Quantity, Trading Amount, Fee, Trading Tax, Other Taxes, Net Settlement Amount)
- Sell(USD): Totals of selling trade(in USD) (Quantity, Trading Amount, Fee, Trading Tax, Other Taxes, Net Settlement Amount)
- Buy(USD): Totals of buying trade(in USD) (Quantity, Trading Amount, Fee, Trading Tax, Other Taxes, Net Settlement Amount)
- Bond Purchase Date: Purchase Date of the bond for which an order was placed
- Quantity: the quantity in which order has been executed
- Price: the price at which order has been executed
- Fee: fees incurred by trading (stock, bond)
- Trading Tax: Trading Tax incurred by trading (stock, bond)
- income tax: income tax incurred by trading (bond only)
- Special tax: special tax incurred by trading (bond only)
- Surtax: surtax incurred by trading (stock, bond)
- Trading Amount: executed amount by trade (= Quantity x Price)
- Net Settlement Amount: Trading amount-fee-trading tax-income tax-special tax)
- Trading Date: the date when trades are executed (T-2; 2 business days before settlement date)
- Settlement date: the date when the trades are settled (T+2; trade date+2 business days)

☞ Functions Description

- To inquire
 - (1) Enter account number, password, Issue Code(by default, all issues), and select **Securities Type, Trading Date, Sell/Buy, Media Type** in the respective drop-down lists or calendar pop-up windows on the upper table.
 - (2) Click “Inquiry” button to search.
 - (3) Click “Next” button to view the rest.

- **Screen Overview**

List of Settlement- by account

This screen enables you to inquire settlement details by account, after Stock, bond trading. You can inquire such detailed information as fees and taxes, net settlement amount, sorted by currency, by net Sell amount, and by net Buy amount.

- Buttons
 - Inquiry / Next.
- Password
- Issue Code: Enter issue code to search (both stock and bond code are available)
All issues are set by default (Unless a specific issue is entered all issues are inquired).
- Settlement Date: the date when the trades are settled, from ~ To (Default: Current Day).
- Securities Type: All / Stock / Bond.
- Media Type: All (Teller + HTS) / Teller / HTS.

• Function

Itemized Description

- Net Buy Amount (LAK): total net settlement amount for selling (in LAK).
- Net Buy Amount (LAK): total net settlement amount for buying(in LAK).
- Net Sell Amount (USD): total net settlement amount for selling(in USD).
- Net Buy Amount (USD): total net settlement amount for buying(in USD).
- Bond Purchase Date: Purchase Date of the bond of which order has been placed.
- Currency: the currency in which orders are placed.
- Quantity: Executed Quantity.
- Price: Executed price.
- Fee: Fees incurred by trading (stock, bond).
- Trading Tax: Trading Tax incurred by trading (stock, bond).
- Income tax: income tax incurred by trading (bond only).
- Special tax: special tax incurred by trading (bond only).
- Surtax: surtax incurred by trading (stock, bond).
- Trading Amount: the amount in which trades are executed (=Quantity*Price).
- Net Settlement Amount: (=Trading Amount-Fee-Trading Tax-income tax-special tax).
- Trading Date: the date when trades are executed (T-2; 2 business days before settlement date).
- Settlement date: the date when the trades are settled (T+2; trade date+2 business days).

Functions Description

▪ To inquire

- (1) Enter account number, password, issue code (by default, all issues) in the respective data fields, **Account No**, **Issue Code**. And select **Securities Type**, **Settlement Date**, and **Media Type** in the respective drop-down lists or calendar pop-up windows on the upper table.
- (2) Click “Inquiry” button to search.
- (3) If the retrieved date continues to the next pages, click “Next” button to view the rest.